



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/496
20 Sep 18

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C)

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/18U112912 of 5 May 18
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct involving [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) stemming from [REDACTED] (b)(7)(A), service aboard USS CHUNG-HOON (DDG 93), from 2005 to 2009. I determined that a preponderance of the evidence does not substantiate the allegations of misconduct against [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C). In addition, there is no evidence that [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) took any official action to benefit Mr. Leonard Francis/GDMA, a prohibited source.

2. Specifically, I determined that:

a. [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) was alleged to have improperly accepted the gift of a free or subsidized hotel room at [REDACTED] (b)(7)(A) in Singapore, with a value in excess of ethical limits, from 9 to 11 May 2007, from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence to conclude that [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) received this gift. Therefore, the preponderance of the evidence does not support a violation of reference (c).

b. [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) was alleged to have improperly accepted the gift of a free dinner in Singapore, with a value in excess of ethical limits, around May 2007, from Mr. Andre Francis/GDMA, a prohibited source. Based upon the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence to conclude that [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) improperly received this gift. Therefore, the preponderance of the evidence does not support a violation of reference (c).

c. [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) was alleged to have improperly accepted the gift of a free or subsidized hotel room [REDACTED] (b)(6), (b)(7)(C) at the [REDACTED] (b)(7)(A) in Singapore, with a value in excess of ethical limits, on 21 May 2007, from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence


Subj: REPORTABLE INFORMATION ICO

(b)(6), (b)(7)(A), (b)(7)(C)

to conclude that (b)(6), (b)(7)(A), (b)(7)(C) improperly received this gift. Therefore, the preponderance of the evidence does not support a violation of reference (c).

3. The finding above constitutes reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received, nor would it be appropriate in this case.

4. My point of contact for this matter is (b)(7)(C) may be reached at (b)(7)(C)@navy.mil.



C. W. GRADY

Copy to:
VCNO (N09D)
NCIS
DCIS (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/510
12 Oct 18

From: Commander, U.S. Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: ADVERSE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C)

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/18U112912 of 5 May 18
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed materials forwarded by the Department of Justice and Defense Criminal Investigative Service regarding [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) conduct while serving as [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) USS BLUE RIDGE (LCC 19), from December 2003 through January 2006, and while serving at the [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) from January 2006 through August 2009. Based on a preponderance of the evidence, I substantiated nine allegations against [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C). As set forth below, I found that [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted gifts with values in excess of ethical limits, from Mr. Leonard Francis/GDMA, a prohibited source, committed graft, made a false official statement to background investigators, and was willfully derelict in the performance of [REDACTED] (b)(7)(A) duties.

2. In relation to the substantiated allegations, I determined that:

a. Between 3 and 5 March 2005, while serving as [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) USS BLUE RIDGE, I found that [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted the gift of a free or discounted hotel room at the [REDACTED] (b)(7)(A) in Malaysia, with a fair market value in excess of ethical limits, from Mr. Francis/GDMA. I found that none of the gift exceptions within reference (c) apply.

b. Between 7 and 11 March 2005, while serving as [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) USS BLUE RIDGE, I found that [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted the gift of a free or discounted hotel room at the [REDACTED] (b)(7)(A) in Singapore, and an upgrade to the [REDACTED] (b)(7)(A) in Singapore, each with a fair market value in excess of ethical limits, from Mr. Francis/GDMA. I found that none of the gift exceptions within reference (c) apply.

c. On or about 17 November 2005, while serving as [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) USS BLUE RIDGE, I found that [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) improperly solicited and accepted the gift of free or discounted round trip airfare from Tokyo, Japan, to Manila, Republic of the Philippines, with a fair market value in

excess of ethical limits, from Mr. Francis/GDMA. I found that none of the gift exceptions within reference (c) apply.

d. On or about 17 November 2005, while serving as (b)(6), (b)(7)(A), (b)(7)(C) USS BLUE RIDGE, I found that (b)(6), (b)(7)(A), (b)(7)(C) improperly solicited and accepted the gift of a free or discounted hotel room at the (b)(7)(A) in Cebu, Republic of the Philippines, from 11 to 13 December 2005, and at the (b)(7)(A) in Manila, Republic of the Philippines, from 14 to 15 December 2005, each with a fair market value in excess of ethical limits, from Mr. Francis/GDMA. I found that none of the gift exceptions within reference (c) apply.

e. On or about 17 November 2005, while serving as (b)(6), (b)(7)(A), (b)(7)(C) USS BLUE RIDGE, I found that (b)(6), (b)(7)(A), (b)(7)(C) improperly solicited and accepted the gift of free or discounted round trip airfare from Manila, Republic of the Philippines to Cebu, Republic of the Philippines, for (b)(6), (b)(7)(A), (b)(7)(C) and another individual, with a fair market value in excess of ethical limits, from Mr. Francis/GDMA. I found that none of the gift exceptions within reference (c) apply.

f. On or about 25 April 2006, while assigned to the (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C) I found that (b)(6), (b)(7)(A), (b)(7)(C) improperly solicited the gift of free or discounted round trip air fare from Kansas City to Singapore and Republic of the Philippines from Mr. Francis/GDMA. I found that none of the gift exceptions within reference (c) apply.

g. On or about 12 October 2005 and again on or about 17 November 2005, while serving as (b)(6), (b)(7)(A), (b)(7)(C) USS BLUE RIDGE, I found that (b)(6), (b)(7)(A), (b)(7)(C) committed graft by wrongfully receiving gifts from Mr. Francis/GDMA in recognition of services rendered or to be rendered, including providing Mr. Francis with nonpublic information, specifically another defense contractor's cost information and an internal U.S. Navy message, which were official matters in which the United States was and is interested.

h. On or about 25 July 2006, I found that (b)(6), (b)(7)(A), (b)(7)(C) did, with intent to deceive, make a false official statement to a security clearance investigator, as part of (b)(6), (b)(7)(A), (b)(7)(C) completion of a Standard Form 86 (SF-86) Questionnaire for National Security Positions. Specifically, (b)(6), (b)(7)(A), (b)(7)(C) denied having any lasting foreign contacts, which was then known by (b)(6), (b)(7)(A), (b)(7)(C) to be false, in that he maintained contact with Mr. Francis, a foreign citizen.

i. Between March 2005 to July 2006, while serving as (b)(6), (b)(7)(A), (b)(7)(C) USS BLUE RIDGE, and later assigned to the (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C) was willfully derelict in performance of (b)(6), (b)(7)(A), (b)(7)(C) duties, in that (b)(6), (b)(7)(A), (b)(7)(C) failed to act impartially and gave preferential treatment to Mr. Francis/GDMA.

3. The substantiated findings detailed above constitute adverse information in accordance with reference (d).

4. I personally addressed this with (b)(6), (b)(7)(A), (b)(7)(C) through administrative action. I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received.

Subj: ADVERSE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) USN

5. I recommend that [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) be required to show cause for retention in the Naval Service.

6. My point of contact for this matter is [REDACTED] (b)(7)(C) may be reached at [REDACTED] (b)(7)(C) @navy.mil.



C. W. GRADY

Copy to:
VCNO (N09D)
NCIS [REDACTED]
DCIS [REDACTED] (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/506
5 Oct 18

From: Commander, U.S. Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: ADVERSE INFORMATION ICO (b)(6), (b)(7)(A), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/18U112912 of 5 May 18
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed materials forwarded by the Department of Justice and Defense Criminal Investigative Service regarding (b)(6), (b)(7)(A), (b)(7)(C) conduct while serving as (b)(6), (b)(7)(A), (b)(7)(C) USS NIMITZ (CVN 68), from August 2003 through November 2005, and (b)(6), (b)(7)(A), (b)(7)(C) USS GEORGE WASHINGTON (CVN 73), from April 2012 through May 2014. Based on a preponderance of the evidence, I substantiated five of eleven allegations against (b)(6), (b)(7)(A), (b)(7)(C). As set forth below, I found that (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted gifts with values in excess of ethical limits, from Mr. Leonard Francis/GDMA, a prohibited source, was derelict in the performance of his duties, and committed graft.

2. In relation to the unsubstantiated allegations, I determined that:

a. Around October 2004, while serving as (b)(6), (b)(7)(A), (b)(7)(C) USS NIMITZ, (b)(6), (b)(7)(A), (b)(7)(C) was alleged to have improperly solicited and accepted the gift of a free or subsidized model ship from Mr. Francis/GDMA. Based on the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence that (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted this gift.

b. In June 2005, while serving as (b)(6), (b)(7)(A), (b)(7)(C) USS NIMITZ, (b)(6), (b)(7)(A), (b)(7)(C) was alleged to have improperly accepted gifts of free transportation and dinner in or around Kuala Lumpur, Malaysia, from Mr. Francis/GDMA. Based on the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence that (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted these gifts.

c. On or about 29 June 2012, while serving as (b)(6), (b)(7)(A), (b)(7)(C) USS GEORGE WASHINGTON, (b)(6), (b)(7)(A), (b)(7)(C) was alleged to have improperly solicited and accepted the gift of a free or subsidized model ship in Busan, South Korea, from Mr. Francis/GDMA. Based upon the

facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence that (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted this gift.

d. On or about 12 August 2012, while serving as (b)(6), (b)(7)(A), (b)(7)(C) USS GEORGE WASHINGTON, (b)(6), (b)(7)(A), (b)(7)(C) was alleged to have improperly accepted the gift of free transportation and a free or subsidized dinner at (b)(7)(A) in Tokyo, Japan, from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence that (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted these gifts.

e. On or about 31 July 2013, while serving as (b)(6), (b)(7)(A), (b)(7)(C) USS GEORGE WASHINGTON, (b)(6), (b)(7)(A), (b)(7)(C) was alleged to have improperly accepted the gifts of free transportation, drinks and entertainment at a club in Brisbane, Australia, from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence that (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted these gifts because the value of transportation did not exceed (b)(7)(A) and, separately, (b)(6), (b)(7)(A), (b)(7)(C) paid fair market value for the drinks and entertainment.

f. On or about 31 July 2013, while serving as (b)(6), (b)(7)(A), (b)(7)(C) USS GEORGE WASHINGTON, (b)(6), (b)(7)(A), (b)(7)(C) was alleged to have patronized a prostitute in Brisbane, Australia, paid for by Mr. Francis/GDMA. Based on the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence that this occurred.

3. In relation to the substantiated allegations, I determined that:

a. In October 2005, while serving as (b)(6), (b)(7)(A), (b)(7)(C) USS NIMITZ, I found that (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted gifts of cigars, pewter items, and a Singapore book with a fair market value in excess of ethical limits, from Mr. Francis/GDMA. I found that none of the gift exceptions within reference (c) apply.

b. On or about 17 October 2005, while serving as (b)(6), (b)(7)(A), (b)(7)(C) USS NIMITZ, I found that (b)(6), (b)(7)(A), (b)(7)(C) was willfully derelict in the performance of (b)(7)(A) duties, in that (b)(7)(A) allowed the improper use of nonpublic information, specifically, by providing GDMA with another defense contractor's cost information, to further the private interests of Mr. Francis/GDMA.

c. On or about 3 August 2012, while serving as (b)(6), (b)(7)(A), (b)(7)(C) USS GEORGE WASHINGTON, I found that (b)(6), (b)(7)(A), (b)(7)(C) was willfully derelict in the performance of (b)(7)(A) duties, in that (b)(7)(A) allowed the improper use of nonpublic information, specifically, by providing GDMA with an internal U.S. Navy port visit report, to further the private interests of Mr. Francis/GDMA.

d. Between October 2004 to November 2005 and April 2012 to July 2013, while serving as (b)(6), (b)(7)(A), (b)(7)(C) USS NIMITZ, and (b)(6), (b)(7)(A), (b)(7)(C) USS GEORGE WASHINGTON, respectively, I found that (b)(6), (b)(7)(A), (b)(7)(C) was willfully derelict in performance of (b)(7)(A) duties, in that (b)(6), (b)(7)(A), (b)(7)(C) failed to act impartially and gave preferential treatment to Mr. Francis/GDMA.

e. Between October 2004 to November 2005 and April 2012 to July 2013, while serving as (b)(6), (b)(7)(A), (b)(7)(C) USS NIMITZ, and (b)(6), (b)(7)(A), (b)(7)(C) USS GEORGE WASHINGTON, respectively, I found that (b)(6), (b)(7)(A), (b)(7)(C) committed graft by wrongfully receiving gifts from Mr. Francis/GDMA in recognition of services rendered or to be rendered, including providing Mr. Francis with nonpublic information, an official matter in which the United States was and is interested.

4. The substantiated findings detailed above constitute adverse information in accordance with reference (d).

5. I personally addressed this with (b)(6), (b)(7)(A), (b)(7)(C) through administrative action. I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received.

6. I recommend that (b)(6), (b)(7)(A), (b)(7)(C) be required to show cause for retention in the Naval Service.

7. My point of contact for this matter is (b)(7)(C) may be reached at (b)(7)(C)@navy.mil.



C. W. GRADY

Copy to:
VCNO (N09D)
NCIS (b)(7)(C)
DCIS

Pages 9 through 10 redacted for the following reasons:

Withheld (b)(7)(A)



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/ 480
23 Aug 18

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/18U112912 of 5 May 18
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct involving [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) USN, stemming from [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) service as [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) USS FITZGERALD (DDG 62), from 2005 through 2007. I determined that a preponderance of the evidence does not substantiate the allegations of misconduct against [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C). In addition, there is no evidence that [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) took any official action to benefit Mr. Leonard Francis/GDMA, a prohibited source.

2. Specifically, I determined that:

a. From 1 to 3 November 2005, [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) was alleged to have improperly accepted the gift of a free or subsidized hotel room at the [REDACTED] (b)(7)(A) in Hong Kong, from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence that [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) accepted a gift in violation of reference (c).

b. From 23 to 26 November 2006, [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) was alleged to have improperly accepted the gift of a free or subsidized hotel room at the [REDACTED] (b)(7)(A) in Hong Kong, from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence that [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) accepted a gift in violation of reference (c).

3. The findings above constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received, nor would it be appropriate in this case.

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(A), (b)(7)(C) USN

4. My point of contact for this matter is [redacted] (b)(7)(C) may be reached
at [redacted] (b)(7)(C) @navy.mil.



C. W. GRADY

Copy to:
VCNO (N09D)
NCIS [redacted] (b)(7)(C)
DCIS [redacted] (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
 Ser CDA/462
 26 Jul 18

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
 Naval Inspector General

Subj: REPORTABLE INFORMATION ICO (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C)
 (b)(6), (b)(7)(A), (b)(7)(C); (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C)
 (b)(6), (b)(7)(A), (b)(7)(C) USN; (b)(6), (b)(7)(A), (b)(7)(C); (b)(6), (b)(7)(A), (b)(7)(C)
 (b)(6), (b)(7)(A), (b)(7)(C) AND (b)(6), (b)(7)(A), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
 (b) VCNO Itr 5800 Ser N09D/18U112912 of 5 May 18
 (c) 5 C.F.R. § 2635
 (d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct involving

(b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C)
 (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C) USN, (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C)
 (b)(6), (b)(7)(A), (b)(7)(C) and (b)(6), (b)(7)(A), (b)(7)(C) USN. I determined that a preponderance of the evidence does

not substantiate the allegations of misconduct against these officers. In addition, there is no evidence that these officers took any official action to benefit Mr. Leonard Francis/GDMA, a prohibited source.

2. Specifically, I determined that:

a. On or about 2 October 2010, (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C) and (b)(6), (b)(7)(A), (b)(7)(C) while assigned to Carrier Strike Group FIVE (CSG-5) subordinate commands, were alleged to have improperly accepted the gift of a dinner at the (b)(7)(A) (b)(7)(A) in Pattaya, Thailand, from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence to conclude that these officers improperly received a gift because they honestly and reasonably believed (b)(7)(A). Therefore, the preponderance of the evidence does not support a violation of reference (c).

b. On or about 8 August 2011, (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C) and (b)(6), (b)(7)(A), (b)(7)(C) while assigned to Carrier Strike Group FIVE (CSG-5) subordinate commands, were alleged to have improperly accepted the gift of a dinner at the (b)(7)(A) in Pattaya, Thailand, from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence to conclude that these officers improperly

Subj: REPORTABLE INFORMATION ICO (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C)
(b)(6), (b)(7)(A), (b)(7)(C) ; (b)(6), (b)(7)(A), (b)(7)(C) ; (b)(6), (b)(7)(A), (b)(7)(C)
(b)(6), (b)(7)(A), (b)(7)(C) USN; (b)(6), (b)(7)(A), (b)(7)(C) ; (b)(6), (b)(7)(A), (b)(7)(C)
(b)(6), (b)(7)(A), (b)(7)(C) AND (b)(6), (b)(7)(A), (b)(7)(C) USN

received a gift because they honestly and reasonably believed (b)(7)(A)
(b)(7)(A) Therefore, the preponderance of the evidence does not support a violation of
reference (c).

3. The findings above constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received, nor would it be appropriate in this case.

4. My point of contact for this matter is (b)(7)(C) may be reached
at (b)(7)(C)@navy.mil.



C. W. GRADY

Copy to:
VCNO (N09D)
NCIS (b)(7)(C)
DCIS



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
 1562 MITSCHER AVENUE SUITE 250
 NORFOLK VA 23551-2487

5800
 Ser CDA/469
 10 Aug 18

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
 Naval Inspector General

Subj: REPORTABLE INFORMATION ICO (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C)
 (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C)
 (b)(6), (b)(7)(A), (b)(7)(C) USN, (b)(6), (b)(7)(A), (b)(7)(C) AND (b)(6), (b)(7)(A), (b)(7)(C)
 (b)(6), (b)(7)(A), (b)(7)(C)

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
 (b) VCNO ltr 5800 Ser N09D/18U112912 of 5 May 18
 (c) 5 C.F.R. § 2635
 (d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct involving

(b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C)
 (b)(6), (b)(7)(A), (b)(7)(C) USN, (b)(6), (b)(7)(A), (b)(7)(C) and (b)(6), (b)(7)(A), (b)(7)(C)
 (b)(6), (b)(7)(A), (b)(7)(C) while they served aboard USS NIMITZ (CVN 68). I determined that a preponderance of the evidence does not substantiate the allegations of misconduct against these officers. In addition, there is no evidence that these officers took any official action to benefit Mr. Leonard Francis/GDMA, a prohibited source.

2. Specifically, I determined that:

a. On 5 June 2005, (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C) and (b)(6), (b)(7)(A), (b)(7)(C) were alleged to have improperly accepted the gift of a dinner at (b)(7)(A) in Hong Kong, with a value in excess of ethical limits, from Mr. Francis/GDMA, a prohibited source. Based upon the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence to conclude that these officers improperly received a gift because they honestly and reasonably believed attendance at the dinner (b)(7)(A) (b)(7)(A). Therefore, the preponderance of the evidence does not support a violation of reference (c).

b. On 1 July 2005, (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C) and (b)(6), (b)(7)(A), (b)(7)(C) were alleged to have improperly accepted the gift of a dinner at the (b)(7)(A) in Kuala Lumpur, Malaysia, with a value in excess of ethical limits, from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence to conclude that these officers improperly received a gift because they

Subj: REPORTABLE INFORMATION ICO (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C)
(b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C)
(b)(6), (b)(7)(A), (b)(7)(C) USN, (b)(6), (b)(7)(A), (b)(7)(C) AND (b)(6), (b)(7)(A), (b)(7)(C)
(b)(6), (b)(7)(A), (b)(7)(C)

honestly and reasonably believed attendance at the dinner (b)(7)(A) Therefore, the preponderance of the evidence does not support a violation of reference (c).

3. The findings above constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received, nor would it be appropriate in this case.

4. My point of contact for this matter is (b)(7)(C) may be reached at (b)(7)(C)@navy.mil.



C. W. GRADY

Copy to:
VCNO (N09D)
NCIS (b)(7)(C)
DCIS



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/464
2 Aug 18

From: Commander, U.S. Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: ADVERSE INFORMATION ICO [REDACTED] USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/18U112912 of 5 May 18
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed materials forwarded by the Department of Justice and Defense Criminal Investigative Service regarding [REDACTED] conduct while [REDACTED] served as [REDACTED] USS COWPENS (CG 63), from July 2010 through June 2012. Based on a preponderance of the evidence, I substantiated one of four allegations against [REDACTED]. As set forth below, I found that [REDACTED] improperly accepted the gift of a dinner, with a value in excess of ethical limits, from Mr. Leonard Francis/GDMA, a prohibited source.

2. In relation to the unsubstantiated allegations:

a. Between 2 and 6 October 2010, while serving as [REDACTED] USS COWPENS, [REDACTED] was alleged to have improperly accepted the gift of a subsidized hotel room at the [REDACTED] in Pattaya, Thailand, from Mr. Francis/GDMA. Based on the facts and circumstances known to me regarding this allegation, I determined there was insufficient evidence that [REDACTED] improperly accepted this gift;

b. On 3 October 2010, while serving as [REDACTED] USS COWPENS, [REDACTED] was alleged to have improperly accepted the gift of a dinner in Pattaya, Thailand, from Mr. Francis/GDMA. Based on the facts and circumstances known to me regarding this allegation, I determined there was insufficient evidence that [REDACTED] improperly accepted this gift; and

c. Between 6 and 11 August 2011, while serving as [REDACTED] USS COWPENS, [REDACTED] was alleged to have improperly accepted the gift of a subsidized hotel room at the [REDACTED] in Pattaya, Thailand, from Mr. Francis/GDMA. Based on the facts and circumstances known to me regarding this allegation, I determined there was insufficient evidence that [REDACTED] improperly accepted this gift.


3. In relation to the substantiated allegation, I determined that on 2 February 2011, while serving as (b)(6), (b)(7)(A), (b)(7)(C) USS COWPENS, (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted the gift of a dinner, with a market value in excess of ethical limits, at the (b)(7)(A) in Singapore from Mr. Francis/GDMA. I found that none of the gift exceptions within reference (c) apply.

4. The substantiated finding detailed above constitutes adverse information in accordance with reference (d).

5. I personally addressed this with (b)(6), (b)(7)(A), (b)(7)(C) through administrative action and consider this matter closed. I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received.

6. I do not recommend that (b)(6), (b)(7)(A), (b)(7)(C) be required to show cause for retention in the Naval Service. (b)(6), (b)(7)(A), (b)(7)(C) continues to be a significant contributor and valued leader in the Navy.

7. My point of contact for this matter is (b)(7)(C) may be reached at (b)(7)(C)@navy.mil.



C. W. GRADY

Copy to:
VCNO (N09D)
NCIS (b)(7)(C)
DCIS



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/474
16 Aug 18

From: Commander, U.S. Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: ADVERSE INFORMATION ICO [REDACTED] USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/18U112912 of 5 May 18
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed materials forwarded by the Department of Justice and Defense Criminal Investigative Service regarding [REDACTED] conduct while [REDACTED] served as: [REDACTED] Destroyer Squadron THREE ONE, in 2007; [REDACTED] to Commander, U.S. Pacific Fleet, in 2009; [REDACTED] U.S. Pacific Command (USPACOM), in 2012; and [REDACTED] USS CHUNG-HOON (DDG 93), in 2013. Based on a preponderance of the evidence, I substantiated three of four allegations against [REDACTED]. As set forth below, I found that [REDACTED] improperly accepted gifts of a hotel room, dinner, and transportation from Mr. Leonard Francis/GDMA, a prohibited source.

2. In relation to the unsubstantiated allegation, I determined that on 9 August 2013, while serving as [REDACTED] USS CHUNG-HOON, [REDACTED] was alleged to have accepted the gift of a subsidized hotel room at the [REDACTED] in Sydney, Australia, from Mr. Francis/GDMA. Based on the facts and circumstances known to me regarding this allegation, I determined there was insufficient evidence that [REDACTED] received this gift.

3. In relation to the substantiated allegations, I determined that:

a. Between 28 July to 1 August 2007, while serving as [REDACTED] DESRON 31, [REDACTED] improperly accepted the gift of a hotel room at the [REDACTED] in Singapore, with a fair market value in excess of ethical limits, from Mr. Francis/GDMA. I found that none of the gift exceptions within reference (c) apply.

b. On 11 March 2012, while serving as [REDACTED] USPACOM, [REDACTED] improperly accepted the gift of a dinner at [REDACTED] in Honolulu, Hawaii, with a fair market value in excess of ethical limits, from Mr. Francis/GDMA. I found that none of the gift exceptions within reference (c) apply.

Subj: ADVERSE INFORMATION ICO [redacted], USN


c. On 30 May 2013, while serving as [redacted] USS CHUNG-HOON, [redacted] improperly solicited and ultimately accepted the gift of free transportation for [redacted] from the Hong Kong Airport to [redacted] Hong Kong, from Mr. Francis/GDMA. I found that none of the gift exceptions within reference (c) apply.

4. The substantiated findings detailed above constitute adverse information in accordance with reference (d). While substantiated, it is important to understand the context of the events. For example, while we are now aware of the extent of Mr. Francis' criminal enterprise against the United States, there is no evidence that [redacted] was aware of Mr. Francis' criminal activities at the time of these events. In addition, there is no evidence that [redacted] took any action to benefit GDMA.

5. I personally addressed this with [redacted] through administrative action and consider this matter closed. I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received.

6. I do not recommend that [redacted] be required to show cause for retention in the Naval Service. [redacted] continues to be a significant contributor and valued leader in the Navy.

7. My point of contact for this matter is [redacted] may be reached at [redacted]@navy.mil.


C. W. GRADY

Copy to:
VCNO (N09D)
NCIS [redacted]
DCIS [redacted]



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
 1562 MITSCHER AVENUE SUITE 250
 NORFOLK VA 23551-2487

5800
 Ser CDA/466
 2 Aug 18

From: Commander, United States Fleet Forces Command
 To: Chief of Naval Personnel
 Naval Inspector General

Subj: REPORTABLE INFORMATION ICO (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C)
 (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C) USN, (b)(6), (b)(7)(A), (b)(7)(C)
 (b)(6), (b)(7)(A), (b)(7)(C) AND (b)(6), (b)(7)(A), (b)(7)(C)

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
 (b) VCNO ltr 5800 Ser N09D/18U112912 of 5 May 18
 (c) 5 C.F.R. § 2635
 (d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct involving (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C) USN, (b)(6), (b)(7)(A), (b)(7)(C) and (b)(6), (b)(7)(A), (b)(7)(C) I determined that a preponderance of the evidence does not substantiate the allegations of misconduct against these officers. In addition, there is no evidence that these officers took any official action to benefit Mr. Leonard Francis/GDMA, a prohibited source.

2. Specifically, I determined that:


a. On or about 17 August 2006, (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C) and (b)(6), (b)(7)(A), (b)(7)(C) while assigned to Carrier Strike Group TWELVE (CSG-12) subordinate commands, were alleged to have improperly accepted the gift of a dinner at the (b)(7)(A) (b)(7)(A) in Kuala Lumpur, Malaysia, from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence to conclude that these officers improperly received a gift because they honestly and reasonably believed attendance (b)(7)(A) Therefore, the preponderance of the evidence does not support a violation of reference (c).

b. On or about 20 August 2006, (b)(6), (b)(7)(A), (b)(7)(C) was alleged to have improperly accepted the gift of an ornamental pewter dagger from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined (b)(6), (b)(7)(A), (b)(7)(C) received (b)(7)(A) (b)(7)(A) Therefore, (b)(6), (b)(7)(A), (b)(7)(C) is entitled to the Safe Harbor provisions of reference (c).

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(A), (b)(7)(C) [redacted] (b)(6), (b)(7)(A), (b)(7)(C)
[redacted] (b)(6), (b)(7)(A), (b)(7)(C) [redacted] (b)(6), (b)(7)(A), (b)(7)(C) USN, [redacted] (b)(6), (b)(7)(A), (b)(7)(C)
[redacted] (b)(6), (b)(7)(A), (b)(7)(C) AND [redacted] (b)(6), (b)(7)(A), (b)(7)(C)

3. The findings above constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received, nor would it be appropriate in this case.

4. My point of contact for this matter is [redacted] (b)(7)(C) may be reached at [redacted] (b)(7)(C) @navy.mil.



C. W. GRADY

Copy to:
VCNO (N09D)
NCIS [redacted] (b)(7)(C)
DCIS



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/496
20 Sep 18

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C)

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/18U112912 of 5 May 18
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct involving [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) stemming from [REDACTED] (b)(7)(A), [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) service aboard USS CHUNG-HOON (DDG 93), from 2005 to 2009. I determined that a preponderance of the evidence does not substantiate the allegations of misconduct against [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C). In addition, there is no evidence that [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) took any official action to benefit Mr. Leonard Francis/GDMA, a prohibited source.

2. Specifically, I determined that:

a. [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) was alleged to have improperly accepted the gift of a free or subsidized hotel room at [REDACTED] (b)(7)(A) in Singapore, with a value in excess of ethical limits, from 9 to 11 May 2007, from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence to conclude that [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) received this gift. Therefore, the preponderance of the evidence does not support a violation of reference (c).


b. [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) was alleged to have improperly accepted the gift of a free dinner in Singapore, with a value in excess of ethical limits, around May 2007, from Mr. Andre Francis/GDMA, a prohibited source. Based upon the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence to conclude that [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) improperly received this gift. Therefore, the preponderance of the evidence does not support a violation of reference (c).

c. [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) was alleged to have improperly accepted the gift of a free or subsidized hotel room [REDACTED] (b)(6), (b)(7)(C) at the [REDACTED] (b)(7)(A) in Singapore, with a value in excess of ethical limits, on 21 May 2007, from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence

to conclude that (b)(6), (b)(7)(A), (b)(7)(C) improperly received this gift. Therefore, the preponderance of the evidence does not support a violation of reference (c).

3. The finding above constitutes reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received, nor would it be appropriate in this case.

4. My point of contact for this matter is (b)(7)(C) may be reached at (b)(7)(C)@navy.mil.



C. W. GRADY

Copy to:
VCNO (N09D)
NCIS
DCIS (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/433
15 Jun 18

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [REDACTED] USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/18U112912 of 5 May 18
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of one allegation of possible misconduct against [REDACTED] USN, while [REDACTED] served as [REDACTED] USS PATRIOT (MCM 7), from November 2009 to May 2010. After a thorough review, I determined that a preponderance of the evidence does not substantiate the allegation of misconduct against [REDACTED]. In addition, there is no evidence that [REDACTED] took any official action to benefit Mr. Leonard Francis/GDMA, a prohibited source.

2. Specifically, on or about 17 April 2010, while serving as [REDACTED] PATRIOT, [REDACTED] was alleged to have improperly accepted the gift of a hotel room at the [REDACTED] in Singapore, with a value in excess of ethical limits, from Mr. Francis/GDMA. Based on the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence to find that [REDACTED] accepted this gift. I further find that [REDACTED] held an honest and reasonable belief that [REDACTED]. Therefore, the preponderance of the evidence does not support a violation of reference (c).

3. The findings above constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gifts received, nor would it be appropriate in this case.

4. My point of contact for this letter is [REDACTED] may be reached at [REDACTED]

C. W. GRADY

Copy to:
VCNO (N09D)
NCIS [REDACTED]
DCIS [REDACTED]



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/484
4 Sep 18

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C)
[REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) AND [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C)
USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/18U112912 of 5 May 18
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct involving [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C), [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) and [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) USN, stemming from their service aboard USS CHANCELLORSVILLE (CG 62), in 2011. I determined that a preponderance of the evidence does not substantiate the allegation of misconduct against these officers. In addition, there is no evidence that these officers took any official action to benefit Mr. Leonard Francis/GDMA, a prohibited source.

2. Specifically, these officers were alleged to have improperly accepted a dinner at the [REDACTED] (b)(7)(A) in Phuket, Thailand, on or about 3 May 2011, with a value in excess of ethical limits, paid for by Mr. Francis/GDMA. Based on the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence to conclude that these officers improperly received a gift because they honestly and reasonably believed [REDACTED] (b)(7)(A). Therefore, the preponderance of the evidence does not support a violation of reference (c).


3. The finding above constitutes reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received, nor would it be appropriate in this case.

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(A), (b)(7)(C)

[redacted] (b)(6), (b)(7)(A), (b)(7)(C) AND [redacted] (b)(6), (b)(7)(A), (b)(7)(C)

USN

4. My point of contact for this matter is [redacted] (b)(7)(C) may be reached at [redacted] (b)(7)(C) @navy.mil.



E. W. GRADY

Copy to:
VCNO (N09D)
NCIS [redacted]
DCIS [redacted]



DEPARTMENT OF THE NAVY
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/497
20 Sep 18

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C)

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/18U112912 of 5 May 18
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct against [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) while [REDACTED] (b)(7)(A) served as [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) USS ENTERPRISE (CVN 65), in 2006. I determined that a preponderance of the evidence does not substantiate the allegations of misconduct against [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C). In addition, there is no evidence that [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) took any official action to benefit Mr. Leonard Francis/GDMA, a prohibited source.

2. Specifically, I determined that:

a. [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) was alleged to have improperly accepted the gift of a dinner in Kuala Lumpur, Malaysia, with a value in excess of ethical limits, on 17 August 2006, from Mr. Francis/GDMA. Based on the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence to conclude that [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) improperly received a gift because he honestly and reasonably believed [REDACTED] (b)(7)(A). Therefore, the preponderance of the evidence does not support a violation of reference (c).

b. [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) was alleged to have improperly accepted the gift of cigars in Kuala Lumpur, Malaysia, with a value in excess of ethical limits, on 17 August 2006, from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) disposed of these cigars consistent [REDACTED] (b)(7)(A). Therefore, the preponderance of the evidence does not support a violation of reference (c).

c. [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) was alleged to have improperly solicited an official endorsement, on or about 25 August 2006, on behalf of Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence to conclude that such solicitation occurred. Therefore, the preponderance of the evidence does not support a violation of reference (c).

3. The findings above constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received, nor would it be appropriate in this case.

4. My point of contact for this matter is [redacted] may be reached at [redacted]@navy.mil.



C. W. GRADY

Copy to:
VCNO (N09D)
NCIS [redacted]
DCIS [redacted]



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/443
28 Jun 18

From: Commander, U.S. Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: ADVERSE INFORMATION ICO [REDACTED] USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/18U112912 of 5 May 18
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed materials forwarded by the Department of Justice and Defense Criminal Investigative Service regarding [REDACTED] conduct while serving as: (1) [REDACTED] USS LASSEN (DDG 82) from 2009 to 2010; and (2) [REDACTED] U.S. SEVENTH Fleet, from 2011 to 2012. Based on a preponderance of the evidence, I substantiated three of nine allegations against [REDACTED] As set forth below, I found that [REDACTED] improperly accepted the gifts of a fruit basket, six baseball tickets to Yokohama BayStars baseball games, and a barrel of sake, each with values in excess of ethical limits, [REDACTED] GDMA, a prohibited source.

2. In relation to the unsubstantiated allegations:

a. On or about 16 September 2009, while serving as [REDACTED] LASSEN, [REDACTED] was alleged to have improperly accepted the gift of a dinner from [REDACTED] GDMA in Shimonoseki, Japan. Based on the facts and circumstances known to me regarding this allegation, I determined there was insufficient evidence that [REDACTED] received a gift.

b. On or about 11 November 2009, while serving as [REDACTED] LASSEN, [REDACTED] was alleged to have improperly cooperated with [REDACTED] GDMA to facilitate a fuel purchase for the benefit of GDMA during a LASSEN port visit to Vietnam. Based on the facts and circumstances known to me regarding this allegation, I determined there was insufficient evidence that [REDACTED] improperly ordered fuel from the husbanding agent.

c. On or about 14 April 2010, while serving as [REDACTED] LASSEN, [REDACTED] was alleged to have improperly accepted the gift of a golf outing, with a market value in excess of ethical limits, from Mr. Leonard Francis/GDMA. Based on the facts and circumstances known to me regarding this allegation, I determined there was insufficient evidence [REDACTED] received this gift.

d. On or about 19 May 2010, while serving as (b)(7)(A) LASSEN, (b)(7)(A) was alleged to have improperly accepted the gift of a golf outing at the (b)(7)(A) (b)(7)(A) with a market value in excess of ethical limits, in Plutaluang, Thailand, from Mr. Francis/GDMA. Based on the facts and circumstances known to me regarding this allegation, I determined there was insufficient evidence that (b)(7)(A) received this gift.

e. On or about 7 June 2010, while serving as (b)(7)(A) LASSEN, (b)(7)(A) was alleged to have improperly accepted the gift of a few cases of vegetables, with a market value in excess of ethical limits, in Yokosuka, Japan, from (b)(7)(A), (b)(7)(D) /GDMA. Based on the facts and circumstances known to me regarding this allegation, I determined there was insufficient evidence that (b)(7)(A) received this gift.

f. On or about 12 October 2011, while serving as (b)(7)(A) to Commander, SEVENTH Fleet, (b)(7)(A) was alleged to have improperly accepted the gift of a dinner with a market value in excess of ethical limits, in Kuala Lumpur, Malaysia, from Mr. Francis/GDMA. Based on the facts and circumstances known to me regarding this allegation, I determined (b)(7)(A) held an honest and reasonable belief that (b)(7)(A) (b)(7)(A) Therefore, a preponderance of the evidence does not support a violation of reference (c).

3. In relation to the substantiated allegations, I determined that:

a. On or about 15 December 2009, while serving as (b)(7)(A) LASSEN, (b)(7)(A) (b)(7)(A) improperly accepted the gift of a fruit basket, with a market value in excess of ethical limits, in Yokosuka, Japan, from (b)(7)(A), (b)(7)(D) /GDMA. I found that none of the gift exceptions within reference (c) apply.

b. On or about 6 July 2010, while serving as (b)(7)(A) LASSEN, (b)(7)(A) improperly accepted a gift of six Yokohama BayStars baseball game tickets, which he used to attend at least two games (b)(6), (b)(7)(C) with a market value in excess of ethical limits, in Yokosuka, Japan, from (b)(7)(A), (b)(7)(D) /GDMA. I found that none of the gift exceptions within reference (c) apply.

c. On or about 6 December 2010, while serving as (b)(7)(A) LASSEN, (b)(7)(A) (b)(7)(A) improperly accepted the gift of a barrel of sake, with a market value in excess of ethical limits, in Yokosuka, Japan, from (b)(7)(A), (b)(7)(D) /GDMA. I found that none of the gift exceptions within reference (c) apply.

4. The substantiated findings above constitute adverse information in accordance with reference (d). While substantiated, it is important to understand the context of the events. For example, while we are now aware of the extent of Mr. Francis' criminal enterprise against the United States, there is no evidence that (b)(7)(A) was aware of Mr. Francis' criminal activities at the time of these events. In addition, there is no evidence that (b)(7)(A) took any action to benefit GDMA.

Subj: ADVERSE INFORMATION ICO [REDACTED] (b)(7)(A) USN

5. I personally addressed this with [REDACTED] (b)(7)(A) through administrative action and consider this matter closed. I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received.

6. I do not recommend that [REDACTED] (b)(7)(A) be required to show cause for retention in the Naval Service. [REDACTED] (b)(7)(A) continues to be a significant contributor and valued leader in the Navy.

7. My point of contact for this matter is [REDACTED] (b)(7)(C) may be reached at [REDACTED] (b)(7)(C) @navy.mil.



C. W. GRADY

Copy to:

VCNO (N09D)

NCIS

DCIS

[REDACTED] (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/495
20 Sep 18

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [REDACTED] USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/18U112912 of 5 May 18
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct involving [REDACTED] USN, stemming from [REDACTED] service aboard USS CHOSIN (CG 65), from 2007 to 2009. I determined that a preponderance of the evidence does not substantiate the allegation of misconduct against [REDACTED]. In addition, there is no evidence that [REDACTED] took any official action to benefit Mr. Leonard Francis/GDMA, a prohibited source.

2. Specifically, I determined that [REDACTED] was alleged to have improperly accepted the gift of a dinner with a value in excess of ethical limits, paid for by Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence to conclude that [REDACTED] received a gift. I further determined [REDACTED] honestly and reasonably believed that [REDACTED]. Therefore, the preponderance of the evidence does not support a violation of reference (c).

3. The finding above constitutes reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received, nor would it be appropriate in this case.

4. My point of contact for this matter is [REDACTED] may be reached at [REDACTED]@navy.mil.

C. W. GRADY

Copy to:
VCNO (N09D)
NCIS [REDACTED]
DCIS [REDACTED]



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
 Ser CDA/483
 28 Aug 18

From: Commander, United States Fleet Forces Command
 To: Chief of Naval Personnel
 Naval Inspector General

Subj: REPORTABLE INFORMATION ICO (b)(6), (b)(7)(A), (b)(7)(C) USN, (b)(6), (b)(7)(A), (b)(7)(C)
 (b)(6), (b)(7)(A), (b)(7)(C), (b)(6), (b)(7)(A), (b)(7)(C) AND
 (b)(6), (b)(7)(A), (b)(7)(C)

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
 (b) VCNO Itr 5800 Ser N09D/18U112912 of 5 May 18
 (c) 5 C.F.R. § 2635
 (d) DoD Instruction 1320.04

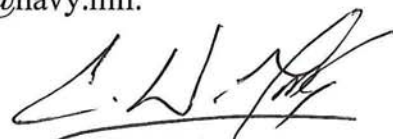
1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct involving (b)(6), (b)(7)(A), (b)(7)(C) USN, (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C) and (b)(6), (b)(7)(A), (b)(7)(C) stemming from their service aboard USS CURTIS WILBUR (DDG 54), in 2008. I determined that a preponderance of the evidence does not substantiate the allegations of misconduct against these individuals. In addition, there is no evidence that these individuals took any official action to benefit Mr. Leonard Francis/GDMA, a prohibited source.

2. Specifically, (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C) and (b)(6), (b)(7)(A), (b)(7)(C) were alleged to have improperly accepted the gift of a discounted round of golf in Kota Kinabalu, Malaysia, with a value in excess of ethical limits, from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence to conclude that these individuals received this gift. Therefore, the preponderance of the evidence does not support a violation of reference (c).

3. The finding above constitutes reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received, nor would it be appropriate in this case.

Subj: REPORTABLE INFORMATION ICO [redacted] USN [redacted]
[redacted], [redacted] AND
[redacted]

4. My point of contact for this matter is [redacted] may be
reached at [redacted]@navy.mil.



C. W. GRADY

Copy to:
VCNO (N09D)
NCIS [redacted]
DCIS [redacted]



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/492
18 Sep 18

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [REDACTED] USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/18U112912 of 5 May 18
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct involving [REDACTED] USN, stemming from [REDACTED] service aboard USS SHILOH (CG 67) from December 2011 to February 2015. I determined that a preponderance of the evidence does not substantiate the allegation of misconduct against [REDACTED]. In addition, there is no evidence that [REDACTED] took any official action to benefit Mr. Leonard Francis/GDMA, a prohibited source.

2. Specifically, I determined that:

a. [REDACTED] was alleged to have improperly accepted a gift of a free or subsidized hotel room at the [REDACTED] in Seoul, South Korea, on or about 28 June 2012, from Mr. Francis/GDMA, a prohibited source. Based upon the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence to conclude that [REDACTED] [REDACTED] improperly received a gift because [REDACTED] honestly and reasonably believed [REDACTED]. Therefore, the preponderance of the evidence does not support a violation of reference (c).

b. [REDACTED] was alleged to have improperly accepted a gift of a dinner in Singapore, around October or November 2012, from Mr. Francis/GDMA, a prohibited source. Based upon the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence that [REDACTED] accepted a gift in violation of reference (c).

c. [REDACTED] was alleged to have improperly accepted a gift of a free or subsidized hotel room at the [REDACTED] in Singapore, around October or November 2012, from Mr. Francis/GDMA, a prohibited source. Based upon the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence that [REDACTED] accepted a gift in violation of reference (c).

3. The finding above constitutes reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received, nor would it be appropriate in this case.

4. My point of contact for this matter is [REDACTED] may be reached at [REDACTED]@navy.mil.

(b)(7)(C)

(b)(7)(C)



C. W. GRADY

Copy to:
VCNO (N09D)
NCIS [REDACTED]
DCIS [REDACTED]

(b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/439
21 Jun 18

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [REDACTED] USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/18U112912 of 5 May 18
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct involving [REDACTED] USN, while [REDACTED] served as [REDACTED] USS CHAFEE (DDG 90), from November 2007 to September 2010. I determined that a preponderance of the evidence does not substantiate the allegations of misconduct against [REDACTED]. In addition, there is no evidence that [REDACTED] took any official action to benefit Mr. Leonard Francis/GDMA, a prohibited source.

2. Specifically, I determined that:

a. On or about 15 April 2009, while serving aboard CHAFEE, [REDACTED] was alleged to have improperly accepted the gift of a dinner at the [REDACTED] restaurant in Kota Kinabalu, Malaysia, with a value in excess of ethical limits, from Mr. Francis/GDMA. Based on the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence to find that [REDACTED] attended this dinner. Therefore, the preponderance of the evidence does not support a violation of reference (c).


b. Between 11 and 17 May 2009, while serving aboard CHAFEE, [REDACTED] was alleged to have improperly accepted the gift of a hotel room at the [REDACTED] in Hong Kong, with a market value in excess of ethical limits, from Mr. Francis/GDMA. Based on the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence to find that [REDACTED] accepted this gift because [REDACTED] held an honest and reasonable belief that [REDACTED]. Therefore, the preponderance of the evidence does not support a violation of reference (c).

3. The findings above constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to

Subj: REPORTABLE INFORMATION ICO [REDACTED] USN

require reimbursement or restitution for the value of the gifts received, nor would it be appropriate in this case.

4. My point of contact for this letter is [REDACTED] may be reached at [REDACTED]



C. W. GRADY

Copy to:
VCNO (N09D)
NCIS [REDACTED]
DCIS [REDACTED]



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/473
16 Aug 18

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: REPORTABLE INFORMATION ICO (b)(6), (b)(7)(A), (b)(7)(C) USN AND (b)(6), (b)(7)(A), (b)(7)(C)
(b)(6), (b)(7)(A), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO Itr 5800 Ser N09D/18U112912 of 5 May 18
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct involving (b)(6), (b)(7)(A), (b)(7)(C) USN, and (b)(6), (b)(7)(A), (b)(7)(C) USN, stemming from their service aboard USS GEORGE WASHINGTON (CVN 73) in 2013. I determined that a preponderance of the evidence does not substantiate the allegations of misconduct against these officers. In addition, there is no evidence that these officers took any official action to benefit Mr. Leonard Francis/GDMA, a prohibited source.

2. Specifically, I determined that:

a. On 31 July 2013, (b)(6), (b)(7)(A), (b)(7)(C) and (b)(6), (b)(7)(A), (b)(7)(C) were alleged to have improperly accepted the gift of a drink at the (b)(7)(A) in Brisbane, Australia, from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence to conclude this gift had a fair market value in excess of (b)(7)(A). Therefore, the preponderance of the evidence does not support a violation of reference (c).

b. On 31 July 2013, (b)(6), (b)(7)(A), (b)(7)(C) and (b)(6), (b)(7)(A), (b)(7)(C) were alleged to have improperly accepted the gift of free transportation from the (b)(7)(A) to a club in Brisbane, Australia, from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence to conclude this gift had a fair market value in excess of (b)(7)(A). Therefore, the preponderance of the evidence does not support a violation of reference (c).

3. The findings above constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to

Subj: REPORTABLE INFORMATION ICO (b)(6), (b)(7)(A), (b)(7)(C) USN AND (b)(6), (b)(7)(A), (b)(7)(C)
(b)(6), (b)(7)(A), (b)(7)(C) USN

require reimbursement or restitution for the value of gifts received, nor would it be appropriate in this case.

4. My point of contact for this matter is (b)(7)(C) may be reached at (b)(7)(C)@navy.mil.



C. W. GRADY

Copy to:
VCNO (N09D)
NCIS
DCIS (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/479
23 Aug 18

From: Commander, U.S. Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: ADVERSE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C)

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/18U112912 of 5 May 18
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed materials forwarded by the Department of Justice and Defense Criminal Investigative Service regarding [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) conduct while [REDACTED] (b)(7)(A) served as [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) Carrier Strike Group TWELVE, in 2006. Based on a preponderance of the evidence, I substantiated one of two allegations against [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) As set forth below, I found that [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted the gift of free drinks and karaoke with a fair market value in excess of ethical limits, from Mr. Leonard Francis/GDMA, a prohibited source.

2. In relation to the unsubstantiated allegation, [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) was alleged to have improperly accepted the gift of dinner in Kuala Lumpur, Malaysia, on 17 August 2006, from Mr. Francis/GDMA. Based on the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence to conclude that [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) improperly received a gift because [REDACTED] (b)(7)(A) honestly and reasonably believed [REDACTED] (b)(7)(A). Therefore, the preponderance of the evidence does not support a violation of reference (c).


3. In relation to the substantiated allegation, I determined that on 17 August 2006, at the [REDACTED] (b)(7)(A) [REDACTED] (b)(7)(A) in Kuala Lumpur, Malaysia, [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted the gift of free drinks and karaoke with a fair market value in excess of ethical limits, from Mr. Francis/GDMA. I found that none of the gift exceptions within reference (c) apply.

4. The substantiated finding detailed above constitutes adverse information in accordance with reference (d). While substantiated, it is important to understand the context of the events. For example, while we are now aware of the extent of Mr. Francis's criminal enterprise against the United States, there is no evidence that [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) was aware of Mr. Francis's criminal activities at the time of these events. In addition, there is no evidence that [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) took any action to benefit GDMA.

Subj: ADVERSE INFORMATION ICO [redacted] (b)(6), (b)(7)(A), (b)(7)(C)

5. I personally addressed this with [redacted] (b)(6), (b)(7)(A), (b)(7)(C) through administrative action and consider this matter closed. I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received.

6. My point of contact for this matter is [redacted] (b)(7)(C) may be reached at [redacted] (b)(7)(C) @navy.mil.



C. W. GRADY

Copy to:
VCNO (N09D)
NCIS [redacted]
DCIS [redacted] (b)(7)(C)

Pages 44 through 45 redacted for the following reasons:

Withheld (b)(7)(A)



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/445
28 Jun 18

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/18U112912 of 5 May 18
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct involving [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) USN, while [REDACTED] (b)(7)(A) served as [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) Task Force SEVEN ZERO (CTF-70) in August 2007. I determined that a preponderance of the evidence does not substantiate the allegations of misconduct against [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C). In addition, there is no evidence that [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) took any official action to benefit Mr. Leonard Francis/GDMA, a prohibited source.

2. Specifically, I determined that:

a. In August 2007, while serving as [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) CTF-70, [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) was alleged to have improperly accepted the gift of a subsidized hotel room at the [REDACTED] (b)(7)(A) in Kuala Lumpur, Malaysia, from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence that [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) improperly received a gift because [REDACTED] (b)(7)(A) honestly and reasonably believed [REDACTED] (b)(7)(A).

[REDACTED] (b)(7)(A) Therefore, the preponderance of the evidence does not support a violation of reference (c).

b. In August 2007, while serving as [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) CTF-70, [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) was alleged to have improperly accepted the gift of services from a prostitute in Kuala Lumpur, Malaysia, from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence that [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) received this. Therefore, the preponderance of the evidence does not support a violation of reference (c).

c. In August 2007, while serving as [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) CTF-70, [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) was alleged to have improperly accepted the gift of free drinks in Kuala Lumpur,

Malaysia, from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence that (b)(6), (b)(7)(A), (b)(7)(C) received this gift. Therefore, the preponderance of the evidence does not support a violation of reference (c).

3. The findings above constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received, nor would it be appropriate in this case.

4. My point of contact for this matter is (b)(7)(C) may be reached at (b)(7)(C)@navy.mil.



C. W. GRADY

Copy to:
VCNO (N09D)
NCIS (b)(7)(C)
DCIS



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/458
13 Jul 18

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [REDACTED] USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/18U112912 of 5 May 18
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct involving [REDACTED] USN, while [REDACTED] served as [REDACTED] Task Force SEVEN ZERO (CTF-70), in August 2007. I determined that a preponderance of the evidence does not substantiate the allegations of misconduct against [REDACTED]. In addition, there is no evidence that [REDACTED] took any official action to benefit Mr. Leonard Francis/GDMA, a prohibited source.

2. Specifically, I determined that:

a. In August 2007, while serving as [REDACTED] CTF-70, [REDACTED] was alleged to have improperly accepted the gift of a subsidized hotel room at the [REDACTED] in Kuala Lumpur, Malaysia, from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence that [REDACTED] [REDACTED] improperly received a gift because [REDACTED] honestly and reasonably believed that [REDACTED]. [REDACTED] Therefore, the preponderance of the evidence does not support a violation of reference (c).

b. In August 2007, while serving as [REDACTED] CTF-70, [REDACTED] was alleged to have improperly accepted the gift of services from a prostitute in Kuala Lumpur, Malaysia, from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence that [REDACTED] received this. Therefore, the preponderance of the evidence does not support a violation of reference (c).

c. In August 2007, while serving as [REDACTED] CTF-70, [REDACTED] was alleged to have improperly accepted the gift of free drinks in Kuala Lumpur, Malaysia, from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation,

Subj: REPORTABLE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) USN

I determined there is insufficient evidence that [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) received this gift. Therefore, the preponderance of the evidence does not support a violation of reference (c).

3. The findings above constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received, nor would it be appropriate in this case.

4. My point of contact for this matter is [REDACTED] (b)(7)(C) may be reached at [REDACTED] (b)(7)(C) @navy.mil.



C. W. GRADY

Copy to:
VCNO (N09D)
NCIS [REDACTED] (b)(7)(C)
DCIS [REDACTED] (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/ 440
21 Jun 18

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [REDACTED] USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/18U112912 of 5 May 18
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of three allegations of possible misconduct involving [REDACTED] USN, while [REDACTED] served as [REDACTED] USS RONALD REAGAN (CVN 76), from June 2005 to May 2007. After a thorough review, I determined that a preponderance of the evidence does not substantiate the allegations of misconduct against [REDACTED]. In addition, there is no evidence that [REDACTED] took any official action to benefit Mr. Leonard Francis/GDMA, a prohibited source.

2. Specifically, I determined that:

a. From 8 June to 14 June 2006, while serving aboard RONALD REAGAN, [REDACTED] was alleged to have improperly accepted the gift of a hotel room in Hong Kong, with a market value in excess of ethical limits, from Mr. Francis/GDMA. Based on the facts and circumstances known to me regarding this allegation, I determined [REDACTED] held an honest and reasonable belief that [REDACTED]. [REDACTED] Therefore, the preponderance of the evidence does not support a violation of reference (c).

b. On 8 June 2006, while serving aboard RONALD REAGAN, [REDACTED] was alleged to have improperly accepted the gift of transportation of [REDACTED] from Mr. Francis/GDMA. Based on the facts and circumstances known to me regarding this allegation, I determined that [REDACTED] had no knowledge that [REDACTED] was transported by GDMA and did not acquiesce in the acceptance of this gift.

c. On 9 June 2006, while serving aboard RONALD REAGAN, [REDACTED] was alleged to have improperly accepted the gift of a shopping/sightseeing tour for [REDACTED] from Mr. Francis/GDMA. Based on the facts and circumstances known to me regarding this allegation, I determined that [REDACTED] had no knowledge of [REDACTED] going on a shopping/sightseeing tour and did not acquiesce in the acceptance of this gift.

3. The findings above constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gifts received, nor would it be appropriate in this case.

4. My point of contact for this letter is [redacted] (b)(7)(C) may be reached at [redacted] (b)(7)(C)



C. W. GRADY

Copy to:
VCNO (N09D)
NCIS [redacted]
DCIS [redacted] (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/449
5 Jul 18

From: Commander, U.S. Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: ADVERSE INFORMATION ICO (b)(6), (b)(7)(A), (b)(7)(C)

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/18U112912 of 5 May 18
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed materials forwarded by the Department of Justice and Defense Criminal Investigative Service regarding (b)(6), (b)(7)(A), (b)(7)(C) conduct while (b)(6), (b)(7)(A), (b)(7)(C) served as (b)(6), (b)(7)(A), (b)(7)(C) USS ESSEX (LHD 2), from January 2008 through May 2010. Based on a preponderance of the evidence, I substantiated four allegations against (b)(6), (b)(7)(A), (b)(7)(C). As set forth below, I found that (b)(6), (b)(7)(A), (b)(7)(C) publicly associated with and patronized prostitutes. I further determined (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted the gifts of a furnished apartment and a hotel room, each with values in excess of ethical limits, from Mr. Leonard Francis/GDMA, a prohibited source.

2. In relation to the substantiated allegations, I determined that:

a. On several occasions between January 2008 and May 2010, while serving as (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C) ESSEX, (b)(6), (b)(7)(A), (b)(7)(C) publicly associated with known prostitutes paid for by Mr. Francis/GDMA in Laem Chabang, Thailand, and paid for by (b)(6), (b)(7)(A), (b)(7)(C) in Subic Bay, Philippines, which conduct was unbecoming an officer (b)(6), (b)(7)(A), (b)(7)(C)

b. On several occasions between January 2008 and May 2010, while serving as (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C) ESSEX, (b)(6), (b)(7)(A), (b)(7)(C) patronized a prostitute during port visits to Subic Bay, Philippines, and Laem Chabang, Thailand;

c. Between 22 and 26 November 2008, (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted the gift of a free stay for four nights in a furnished apartment at (b)(7)(A) in Hong Kong, valued between \$350 and \$700 per person, from Mr. Francis/GDMA. I found that none of the gift exceptions within reference (c) apply; and

Subj: ADVERSE INFORMATION ICO (b)(6), (b)(7)(A), (b)(7)(C) USN

d. On or about 18 February 2010, while serving as (b)(6), (b)(7)(A), (b)(7)(C) ESSEX, (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted the gift of a hotel room at the (b)(7)(A) in Pattaya, Thailand, with a value in excess of ethical limits, from Mr. Francis/GDMA.

3. The substantiated findings detailed above constitute adverse information in accordance with reference (d).

4. Additionally, I have recommended that (b)(6), (b)(7)(A), (b)(7)(C) show cause for retention in the naval service. I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received.

5. My point of contact for this matter is (b)(7)(C) may be reached at (b)(7)(C)@navy.mil.



C. W. GRADY

Copy to:

VCNO (N09D)

NCIS

DCIS

(b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/ 481
23 Aug 18

From: Commander, U.S. Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: ADVERSE INFORMATION ICO (b)(6), (b)(7)(A), (b)(7)(C)

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/18U112912 of 5 May 18
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed materials forwarded by the Department of Justice and Defense Criminal Investigative Service regarding (b)(6), (b)(7)(A), (b)(7)(C) conduct while (b)(7)(A) served as (b)(6), (b)(7)(A), (b)(7)(C) USS ENTERPRISE (CVN 65), in 2006. Based on a preponderance of the evidence, I substantiated one of two allegations against (b)(6), (b)(7)(A), (b)(7)(C). As set forth below, I found that (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted the gift of free drinks and karaoke with a fair market value in excess of ethical limits, from Mr. Leonard Francis/GDMA, a prohibited source.

2. In relation to the unsubstantiated allegation, (b)(6), (b)(7)(A), (b)(7)(C) was alleged to have improperly accepted the gift of dinner in Kuala Lumpur, Malaysia, on 17 August 2006, from Mr. Francis/GDMA. Based on the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence to conclude that (b)(6), (b)(7)(A), (b)(7)(C) improperly received a gift because (b)(7)(A) honestly and reasonably believed (b)(7)(A). Therefore, the preponderance of the evidence does not support a violation of reference (c).

3. In relation to the substantiated allegation, I determined that on 17 August 2006, at the (b)(7)(A) (b)(7)(A) in Kuala Lumpur, Malaysia, (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted the gift of free drinks and karaoke with a fair market value in excess of ethical limits, from Mr. Francis/GDMA. I found that none of the gift exceptions within reference (c) apply.

4. The substantiated findings detailed above constitute adverse information in accordance with reference (d). While substantiated, it is important to understand the context of the events. For example, while we are now aware of the extent of Mr. Francis's criminal enterprise against the United States, there is no evidence that (b)(6), (b)(7)(A), (b)(7)(C) was aware of Mr. Francis's criminal activities at the time of these events. In addition, there is no evidence that (b)(6), (b)(7)(A), (b)(7)(C) took any action to benefit GDMA.

5. I personally addressed this with (b)(6), (b)(7)(A), (b)(7)(C) through administrative action and consider this matter closed. I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received.

6. My point of contact for this matter is (b)(7)(C) may be reached at (b)(7)(C)@navy.mil.



C. W. GRADY

Copy to:
VCNO (N09D)
NCIS
DCIS (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/447
5 Jul 18

From: Commander, U.S. Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: ADVERSE INFORMATION ICO [REDACTED] USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/18U112912 of 5 May 18
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed materials forwarded by the Department of Justice and Defense Criminal Investigative Service regarding [REDACTED] USN, while [REDACTED] served as: (1) [REDACTED] USS HOPPER (DDG 70), from 2006 to 2008; and (2) [REDACTED] USS CARL VINSON (CVN 70), from 2011 to 2013. Based on a preponderance of the evidence, I substantiated twenty-two of twenty-three allegations of misconduct against [REDACTED]. As set forth below, I found that [REDACTED] committed the offense of graft and acted against Navy interests by wrongfully assisting GDMA in exchange for gifts; specifically, he improperly accepted numerous gifts of meals, drinks, and hotel rooms, with a value in excess of \$5,500 and ethical limits, from [REDACTED] and Mr. Leonard Francis/GDMA, a prohibited source; and publicly associated with and patronized known prostitutes paid for by GDMA, which conduct was unbecoming an officer and a gentleman.

2. In relation to the unsubstantiated allegation, I determined that between April and May 2012, while serving as [REDACTED] CARL VINSON, [REDACTED] was alleged to have wrongfully facilitated the overcharging of supplies and equipment by knowingly approving fraudulent Collection, Holding, and Transfer invoices in relation to a Hong Kong port call with [REDACTED] GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined there was insufficient evidence to conclude this occurred.

3. In relation to the substantiated allegations, I determined that:

a. In July 2006, while serving as [REDACTED] HOPPER, [REDACTED] improperly accepted the gift of dinner at a seafood restaurant near the [REDACTED] followed by karaoke, which included alcohol and entertainment from female hostesses, at the [REDACTED] and another nightclub in Kota Kinabalu, Malaysia, with a market value in excess of ethical

limits, from (b)(6), (b)(7)(A), (b)(7)(C), (b)(7)(D) GDMA. I find that none of the gift exceptions within reference (c) apply.

b. In July 2006, while serving as (b)(6), (b)(7)(A), (b)(7)(C) HOPPER, (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted the gift of a karaoke party, which included alcohol and entertainment from female hostesses, at the (b)(7)(A) in Kota Kinabalu, Malaysia, with a market value in excess of ethical limits, from (b)(6), (b)(7)(A), (b)(7)(C), (b)(7)(D) GDMA. I find that none of the gift exceptions within reference (c) apply.

c. In July 2006, while serving as (b)(6), (b)(7)(A), (b)(7)(C) HOPPER, (b)(6), (b)(7)(A), (b)(7)(C) publicly associated with a known prostitute from the (b)(7)(A) which was paid for by (b)(6), (b)(7)(A), (b)(7)(C), (b)(7)(D) GDMA, in Kota Kinabalu, Malaysia, which conduct was unbecoming an officer and a gentleman. I find that none of the gift exceptions within reference (c) apply.

d. In July 2006, while serving as (b)(6), (b)(7)(A), (b)(7)(C) HOPPER, (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted the gift of a hotel room in Kota Kinabalu, Malaysia, with a market value in excess of ethical limits, from (b)(6), (b)(7)(A), (b)(7)(C), (b)(7)(D) GDMA. I find that none of the gift exceptions within reference (c) apply.

e. In August 2006, while serving as (b)(6), (b)(7)(A), (b)(7)(C) HOPPER, (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted the gift of a dinner at a restaurant in Brunei, with a market value in excess of ethical limits, from (b)(6), (b)(7)(A), (b)(7)(C), (b)(7)(D) GDMA. I find that none of the gift exceptions within reference (c) apply.

f. In August 2006, while serving as (b)(6), (b)(7)(A), (b)(7)(C) HOPPER, (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted the gift of a Nokia cell phone in Brunei, with a market value in excess of ethical limits, from (b)(6), (b)(7)(A), (b)(7)(C), (b)(7)(D) GDMA. I find that none of the gift exceptions within reference (c) apply.

g. From 26 to 28 August 2006, while serving as (b)(6), (b)(7)(A), (b)(7)(C) HOPPER, (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted the gift of a subsidized hotel room at the (b)(7)(A) in Hong Kong, with a market value in excess of ethical limits, from (b)(6), (b)(7)(A), (b)(7)(C), (b)(7)(D) GDMA. I find that none of the gift exceptions within reference (c) apply.

h. In December 2007, while serving as (b)(6), (b)(7)(A), (b)(7)(C) HOPPER, (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted the gift of an event (b)(7)(A) while in Singapore, which included a private tour, free alcohol, and parting gifts including a bottle of wine, with a market value in excess of ethical limits, from Mr. Francis/GDMA. I find that none of the gift exceptions within reference (c) apply.

i. In December 2007, while serving as (b)(6), (b)(7)(A), (b)(7)(C) HOPPER, (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted the gift of a dinner at the (b)(7)(A) in Singapore, with a market value in excess of ethical limits, from Mr. Francis/GDMA. I find that none of the gift exceptions within reference (c) apply.

j. In December 2007, while serving as (b)(6), (b)(7)(A), (b)(7)(C) HOPPER, (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted the gift of a hotel room at the (b)(7)(A) in Singapore, with a market

value in excess of ethical limits, from Mr. Francis/GDMA. I find that none of the gift exceptions within reference (c) apply.

k. On 23 December 2007, while serving as [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) HOPPER, [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted the gift of a dinner at the [REDACTED] (b)(7)(A) in Penang, Malaysia, with a market value in excess of ethical limits, from Mr. Francis/GDMA. I find that none of the gift exceptions within reference (c) apply.

l. On 23 December 2007, while serving as [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) HOPPER, [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) publicly associated with known prostitutes at a karaoke club in Penang, Malaysia, which conduct was unbecoming an officer and a gentleman.

m. From 23 to 26 December 2007, while serving as [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) HOPPER, [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted the gift of a hotel room at the [REDACTED] (b)(7)(A) in Penang, Malaysia, with a market value in excess of ethical limits, from Mr. Francis/GDMA. I find that none of the gift exceptions within reference (c) apply.

n. In December 2007, while serving as [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) HOPPER, [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted the gift of a dinner at the [REDACTED] (b)(7)(A) in Langkawi, Malaysia, with a market value in excess of ethical limits, from [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C), [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) GDMA. I find that none of the gift exceptions within reference (c) apply.

o. In April 2008, while serving as [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) HOPPER, [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted the gift of a speedboat rental in Phuket, Thailand, with a market value in excess of ethical limits, from [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C), [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) GDMA. I find that none of the gift exceptions within reference (c) apply.

p. In April 2008, while serving as [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) HOPPER, [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted the gift of a hotel room at the [REDACTED] (b)(7)(A) in Phuket, Thailand, with a market value in excess of ethical limits, from [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C), [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) GDMA. I find that none of the gift exceptions within reference (c) apply.

q. In April 2008, while serving as [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) HOPPER, [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted the gift of a dinner in Phuket, Thailand, with a market value in excess of ethical limits, from [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C), [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) GDMA. I find that none of the gift exceptions within reference (c) apply.

r. In May 2011, while serving as [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) CARL VINSON, [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) patronized a prostitute in Manila, Philippines.

s. In May 2011, while serving as [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) CARL VINSON, [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted the gift of a hotel room in Hong Kong, with a market value in excess of ethical limits, from [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C), [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) GDMA. I find that none of the gift exceptions within reference (c) apply.

t. In December 2011, while serving as [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) CARL VINSON, [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted the gift of a hotel room in Hong Kong, with a market value in

excess of ethical limits, from (b)(6), (b)(7)(A), (b)(7)(C), (b)(7)(D) GDMA. I find that none of the gift exceptions within reference (c) apply.

u. From 26 to 28 April 2012, while serving as (b)(6), (b)(7)(A), (b)(7)(C) CARL VINSON, (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted the gift of a hotel room at the (b)(7)(A) in Perth, Australia, with a market value in excess of ethical limits, from (b)(7)(A) GDMA. I find that none of the gift exceptions within reference (c) apply.

v. Between July 2006 and April 2013, while serving as (b)(6), (b)(7)(A), (b)(7)(C) HOPPER, and (b)(6), (b)(7)(A), (b)(7)(C) CARL VINSON, (b)(6), (b)(7)(A), (b)(7)(C) committed graft by wrongfully receiving the gifts of numerous meals, drinks and hotel rooms, including a speedboat rental, with a value in excess of \$5,500, as compensation for or in recognition of various services rendered or to be rendered by (b)(6), (b)(7)(A), (b)(7)(C) including arranging fuel away from port; scrutinizing competing husbanding agents' bills; showing preference to purchase supplies such as beer and wine from GDMA rather than through other sources; and offering to assist GDMA with its business in various ports - in relation to official matters in which the United States was and is interested.

4. The substantiated findings detailed above constitute adverse information in accordance with reference (d).

5. Additionally, I have recommended that (b)(6), (b)(7)(A), (b)(7)(C) show cause for retention in the Naval service. I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received.

6. My point of contact for this matter is (b)(7)(C) may be reached at (b)(7)(C) @navy.mil.



C. W. GRADY

Copy to:
VCNO (N09D)
NCIS (b)(7)(C)
DCIS



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/482
28 Aug 18

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [REDACTED] AND
[REDACTED] USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/18U112912 of 5 May 18
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

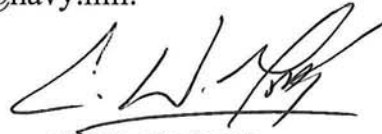
1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct involving [REDACTED] and [REDACTED] USN, stemming from their service while assigned to Carrier Strike Group FIVE, in 2006. I determined that a preponderance of the evidence does not substantiate the allegation of misconduct against these officers. In addition, there is no evidence that these officers took any official action to benefit Mr. Leonard Francis/GDMA, a prohibited source.

2. Specifically, [REDACTED] and [REDACTED] were alleged to have improperly accepted a dinner at the [REDACTED] in Pattaya, Thailand, on or about 3 September 2006, with a value in excess of ethical limits, paid for by Mr. Francis/GDMA. Based on the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence to conclude that these officers improperly received a gift because they honestly and reasonably believed [REDACTED]. Therefore, the preponderance of the evidence does not support a violation of reference (c).

3. The finding above constitutes reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received, nor would it be appropriate in this case.

Subj: REPORTABLE INFORMATION ICO [REDACTED] AND
[REDACTED] USN

4. My point of contact for this matter is [REDACTED] may be
reached at [REDACTED]@navy.mil.



C. W. GRADY

Copy to:
VCNO (N09D)
NCIS [REDACTED]
DCIS [REDACTED]

Pages 62 through 63 redacted for the following reasons:

Withheld (b)(7)(A)



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
 1562 MITSCHER AVENUE SUITE 250
 NORFOLK VA 23551-2487

5800
 Ser CDA/456
 13 Jul 18

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
 Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [redacted] USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
 (b) VCNO ltr 5800 Ser N09D/18U112912 of 5 May 18
 (c) 5 C.F.R. § 2635
 (d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct involving [redacted] USN, while [redacted] served as [redacted] USS MCCAIN (DDG 56), from October 2006 through January 2008. I determined that a preponderance of the evidence does not substantiate the allegations of misconduct against [redacted]. In addition, there is no evidence that [redacted] took any official action to benefit Mr. Leonard Francis/GDMA, a prohibited source.

2. Specifically, I determined that:


a. From 12 to 15 July 2007, while serving as [redacted] USS MCCAIN, [redacted] was alleged to have improperly accepted the gift of a subsidized hotel room at the [redacted] in Hong Kong from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence that [redacted] improperly received a gift because [redacted] honestly and reasonably believed that [redacted]. [redacted] Therefore, the preponderance of the evidence does not support a violation of reference (c).

b. From 21 to 25 November 2007, while serving as [redacted] USS MCCAIN, [redacted] was alleged to have improperly accepted the gift of a subsidized hotel room at the [redacted] in Hong Kong from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence that [redacted] improperly received a gift because [redacted] honestly and reasonably believed that [redacted]. [redacted] Therefore, the preponderance of the evidence does not support a violation of reference (c).

Subj: REPORTABLE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) USN

3. The findings above constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received, nor would it be appropriate in this case.

4. My point of contact for this matter is [REDACTED] (b)(7)(C) may be reached at [REDACTED] (b)(7)(C) @navy.mil.



C. W. GRADY

Copy to:
VCNO (N09D)
NCIS [REDACTED]
DCIS [REDACTED] (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/ 452
5 Jul 18

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO Itr 5800 Ser N09D/18U112912 of 5 May 18
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of five misconduct allegations against [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) USN, that occurred while [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) for Commander, Task Force SEVEN ZERO (CTF 70)/Carrier Strike Group FIVE (CSG-5) from April 2006 to July 2008. After thorough review, I determined that a preponderance of the evidence does not substantiate the allegations against [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C). In addition, there is no evidence that [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) took any official action to benefit Mr. Leonard Francis/GDMA, a prohibited source.

2. Specifically, I determined that:

a. On or about 3 September 2006, while serving in [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) CTF 70/CSG-5, [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) was alleged to have improperly accepted the gift of a dinner at the [REDACTED] (b)(7)(A) in Pattaya, Thailand, with a market value in excess of ethical limits, from Mr. Francis/GDMA. Based on the facts and circumstances known to me regarding this allegation, I determined that this dinner likely qualified as a permissible gift under the exception for meals, refreshments, and entertainment in foreign areas because the estimated value of the gift was below the authorized per diem for Thailand. In addition, I determined that [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) held an honest and reasonable belief that [REDACTED] (b)(7)(A). [REDACTED] (b)(7)(A) Therefore, a preponderance of the evidence does not support a violation of reference (c).

b. On or about 23 through 27 November 2006, while serving in [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) CTF 70/CSG-5, [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) was alleged to have improperly accepted a gift of a subsidized hotel room at the [REDACTED] (b)(7)(A) in Hong Kong, from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined that [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) honestly and reasonably believed that [REDACTED] (b)(7)(A). [REDACTED] (b)(7)(A) Therefore, a preponderance of the evidence does not support a violation of reference (c).

c. On or about 26 November 2006, [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) was alleged to have been derelict in the performance of [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) duties [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) for CTF 70/CSG-5, in that [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C)

Subj: REPORTABLE INFORMATION ICO (b)(6), (b)(7)(A), (b)(7)(C) USN

(b)(6), (b)(7)(A), (b)(7)(C) CTF 70/CSG 5, admitted to (b)(6), (b)(7)(A), (b)(7)(C) that (b)(7)(A) attended an elaborate dinner at (b)(7)(A) in Hong Kong, with other CTF 70/CSG-5 (b)(6), (b)(7)(A), (b)(7)(C) that was paid for and hosted by Mr. Francis/GDMA, and (b)(6), (b)(7)(A), (b)(7)(C)

Based on the facts and circumstances known to me regarding this allegation, I determined that (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C) was not otherwise derelict in the performance of (b)(6), (b)(7)(A), (b)(7)(C) duties. Therefore, a preponderance of the evidence does not support a violation of reference (c).


d. On or about 30 August 2007, while serving (b)(6), (b)(7)(A), (b)(7)(C) CTF 70/CSG-5, (b)(6), (b)(7)(A), (b)(7)(C) was alleged to have improperly accepted the gift of a dinner at the (b)(7)(A) in Kuala Lumpur, Malaysia, with a market value in excess of ethical limits, from Mr. Francis/GDMA. Based on the facts and circumstances known to me regarding this allegation, I determined that (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C) had an honest and reasonable belief that (b)(7)(A) (b)(7)(A). Therefore, a preponderance of the evidence does not support a violation of reference (c).

e. On or about 31 April 2008, (b)(6), (b)(7)(A), (b)(7)(C) USN, was alleged to have been derelict in the performance of (b)(6), (b)(7)(A), (b)(7)(C) duties (b)(6), (b)(7)(A), (b)(7)(C) for CTF 70/ CSG-5, in that (b)(6), (b)(7)(A), (b)(7)(C)

(b)(6), (b)(7)(A), (b)(7)(C) Based on the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence to conclude that (b)(6), (b)(7)(A), (b)(7)(C) was derelict in the performance of (b)(6), (b)(7)(A), (b)(7)(C) duties. Therefore, a preponderance of the evidence does not support a violation of reference (c).

3. The findings above constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gifts received, nor would it be appropriate in this case.

4. My point of contact for this letter is (b)(7)(C) U.S. Fleet Forces (b)(7)(C) may be reached at (b)(7)(C)


C. W. GRADY

Copy to:
VCNO (N09D)
NCIS (b)(7)(C)
DCIS



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/518
17 Oct 18

From: Commander, U.S. Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: ADVERSE INFORMATION ICO (b)(6), (b)(7)(A), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/18U112912 of 5 May 18
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed materials forwarded by the Department of Justice and Defense Criminal Investigative Service regarding (b)(6), (b)(7)(A), (b)(7)(C) conduct while serving as (b)(6), (b)(7)(A), (b)(7)(C) USS VINCENNES (CG 49), from February 2003 to September 2004. Based on a preponderance of the evidence, I substantiated six of seven allegations against (b)(6), (b)(7)(A), (b)(7)(C). As set forth below, I found that (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted gifts with fair market values in excess of ethical limits, from Mr. Leonard Francis/GDMA, a prohibited source, was derelict in the performance of (b)(6), (b)(7)(A), (b)(7)(C) duties, and committed conduct unbecoming an officer (b)(6), (b)(7)(A), (b)(7)(C).

2. In relation to the unsubstantiated allegation, I determined that around July 2003, while serving as (b)(6), (b)(7)(A), (b)(7)(C) USS VINCENNES, (b)(6), (b)(7)(A), (b)(7)(C) was alleged to have improperly accepted the gifts of a (b)(6), (b)(7)(C) an iPad, and tickets to Sentosa Island, Singapore, from Mr. Francis/GDMA. Based on the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence that (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted these gifts.

3. In relation to the substantiated allegations, I determined that:

a. Around July 2003, while serving as (b)(6), (b)(7)(A), (b)(7)(C) USS VINCENNES, (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted the gifts of flowers, transportation, and a free or discounted hotel room, fully stocked with food, at the (b)(7)(A) in Singapore, with a combined fair market value in excess of ethical limits, from Mr. Francis/GDMA. I found that none of the gift exceptions within reference (c) apply.

b. In 2003, while serving as (b)(6), (b)(7)(A), (b)(7)(C) USS VINCENNES, (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted the gift of 19-inch sport automobile rims, with a fair market value in excess of ethical limits, from Mr. Francis/GDMA. I found that none of the gift exceptions within reference (c) apply.

c. Around April 2004, while serving as (b)(6), (b)(7)(A), (b)(7)(C) USS VINCENNES, (b)(6), (b)(7)(A), (b)(7)(C) improperly solicited and accepted the gifts of flowers, free transportation, and a free or discounted hotel suite at (b)(7)(A) in Singapore, with fair market values in excess of ethical limits, from Mr. Francis/GDMA. I found that none of the gift exceptions within reference (c) apply.

d. Around April 2004, while serving as (b)(6), (b)(7)(A), (b)(7)(C) USS VINCENNES, (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted the gift of a Diesel watch, with a fair market value in excess of ethical limits, from Mr. Francis/GDMA. I found that none of the gift exceptions within reference (c) apply.

e. Between July 2003 and April 2004, while serving as (b)(6), (b)(7)(A), (b)(7)(C) USS VINCENNES, (b)(6), (b)(7)(A), (b)(7)(C) was willfully derelict in the performance of (b)(6), (b)(7)(A), (b)(7)(C) duties in that (b)(6), (b)(7)(A), (b)(7)(C) failed to act impartially and avoid the appearance of impropriety in (b)(6), (b)(7)(A), (b)(7)(C) interactions with Mr. Francis/GDMA, including communicating with GDMA employees regarding personal matters, such as suggesting (b)(6), (b)(7)(A), (b)(7)(C) might seek employment with GDMA after GDMA was awarded a new U.S. Navy contract, and purchasing and transporting a samurai statue, costing approximately \$2,000, for Mr. Francis at the request of a GDMA employee.

f. Between July 2003 and April 2004, while serving as (b)(6), (b)(7)(A), (b)(7)(C) USS VINCENNES, (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted gifts with fair market values in excess of permissible limits from Mr. Francis/GDMA, and while doing so, provided personal information to a GDMA employee regarding (b)(6), (b)(7)(A), (b)(7)(C) in order to assist GDMA in giving (b)(6), (b)(7)(A), (b)(7)(C) gifts in excess of ethical limits and thereby further GDMA's private interests, which conduct was unbecoming an officer and a gentleman and to the discredit of the armed forces.

4. The substantiated findings detailed above constitute adverse information in accordance with reference (d).

5. I personally addressed this with (b)(6), (b)(7)(A), (b)(7)(C) through administrative action. I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received.

6. I recommend that (b)(6), (b)(7)(A), (b)(7)(C) be required to show cause for retention in the Naval Service.

7. My point of contact for this matter is (b)(7)(C) may be reached at (b)(7)(C) @navy.mil.


C. W. GRADY

Copy to:
VCNO (N09D)
NCIS [redacted]
DCIS [redacted]



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/ 454
5 Jul 18

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: ADVERSE INFORMATION ICO ICO CAPT CHARLES JOHNSON, USN

Ref: (a) SECNAV memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/18U112912 of 5 May 18
(c) DoD Instruction 1320.04

Encl: (1) SECNAV ltr of 14 Jun 18

1. As the Consolidated Disposition Authority for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct involving CAPT Charles Johnson, USN, while he served in a number of U.S. Navy leadership positions in the U.S. SEVENTH Fleet Area of Operations from 2004 to 2010. Enclosure (1) is a Secretarial Letter of Censure issued to CAPT Johnson, which identifies the substantiated findings of misconduct.

2. The substantiated findings set forth in enclosure (1) constitute adverse information in accordance with reference (c). The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received, nor would it be appropriate in this case.

3. My point of contact for this matter is [REDACTED] (b)(7)(C) may be reached at [REDACTED] (b)(7)(C) @navy.mil.



C. W. GRADY

Copy to:
VCNO (N09D)
NCIS [REDACTED]
DCIS [REDACTED]



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/449
5 Jul 18

From: Commander, U.S. Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: ADVERSE INFORMATION ICO (b)(6), (b)(7)(A), (b)(7)(C)

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/18U112912 of 5 May 18
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed materials forwarded by the Department of Justice and Defense Criminal Investigative Service regarding (b)(6), (b)(7)(A), (b)(7)(C) conduct while served as (b)(6), (b)(7)(A), (b)(7)(C) USS ESSEX (LHD 2), from January 2008 through May 2010. Based on a preponderance of the evidence, I substantiated four allegations against (b)(6), (b)(7)(A), (b)(7)(C). As set forth below, I found that (b)(6), (b)(7)(A), (b)(7)(C) publicly associated with and patronized prostitutes. I further determined (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted the gifts of a furnished apartment and a hotel room, each with values in excess of ethical limits, from Mr. Leonard Francis/GDMA, a prohibited source.

2. In relation to the substantiated allegations, I determined that:

a. On several occasions between January 2008 and May 2010, while serving as (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C) ESSEX, (b)(6), (b)(7)(A), (b)(7)(C) publicly associated with known prostitutes paid for by Mr. Francis/GDMA in Laem Chabang, Thailand, and paid for by (b)(6), (b)(7)(A), (b)(7)(C) in Subic Bay, Philippines, which conduct was unbecoming an officer

b. On several occasions between January 2008 and May 2010, while serving as (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C) ESSEX, (b)(6), (b)(7)(A), (b)(7)(C) patronized a prostitute during port visits to Subic Bay, Philippines, and Laem Chabang, Thailand;

c. Between 22 and 26 November 2008, (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted the gift of a free stay for four nights in a furnished apartment at (b)(7)(A) in Hong Kong, valued between \$350 and \$700 per person, from Mr. Francis/GDMA. I found that none of the gift exceptions within reference (c) apply; and

Subj: ADVERSE INFORMATION ICO (b)(6), (b)(7)(A), (b)(7)(C) USN

d. On or about 18 February 2010, while serving as (b)(6), (b)(7)(A), (b)(7)(C) ESSEX, (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted the gift of a hotel room at the (b)(7)(A) in Pattaya, Thailand, with a value in excess of ethical limits, from Mr. Francis/GDMA.

3. The substantiated findings detailed above constitute adverse information in accordance with reference (d).

4. Additionally, I have recommended that (b)(6), (b)(7)(A), (b)(7)(C) show cause for retention in the naval service. I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received.

5. My point of contact for this matter is (b)(7)(C) may be reached at (b)(7)(C) @navy.mil.



C. W. GRADY

Copy to:
VCNO (N09D)
NCIS [REDACTED]
DCIS [REDACTED]



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

1611
Ser N01L/076
17 Oct 18

From: Commander, United States Fleet Forces Command
To: Commander, Navy Personnel Command (PERS-834)
Via: (1) (b)(6), (b)(7)(A), (b)(7)(C) USN
(2) President, U.S. Naval War College
(3) Commander, United States Fleet Forces Command

Subj: REPORT OF MISCONDUCT ICO (b)(6), (b)(7)(A), (b)(7)(C) USN

Ref: (a) MILPERSMAN 1611-010
(b) SECNAV memo dtd 30 Sep 15
(c) VCNO ltr 5800 Ser N09D/18U112912 of 5 May 18
(d) DoD 5500.07-R, Joint Ethics Regulation, August 1993, Changes 1-7
(e) Uniform Code of Military Justice

Encl: (1) COMUSFLTFORCOM ltr 5800 Ser CDA/518 of 17 Oct 18
(2) Summarized Evidence Package ICO (b)(6), (b)(7)(A), (b)(7)(C) USN

1. Per reference (a), this Report of Misconduct in the case of (b)(6), (b)(7)(A), (b)(7)(C) USN, is forwarded for review and action. As the Consolidated Disposition Authority for the Glenn Defense Marine Asia (GDMA) matter per references (b) and (c), I reviewed matters forwarded by the Department of Justice (DoJ) and Defense Criminal Investigative Service (DCIS) regarding (b)(6), (b)(7)(A), (b)(7)(C) conduct while served as (b)(6), (b)(7)(A), (b)(7)(C) USS VINCENNES (CG 49), from February 2003 to September 2004.

2. Based upon my review of matters forwarded by DoJ and DCIS, I determined that (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted gifts with fair market values in excess of ethical limits, from Mr. Leonard Francis/GDMA, a prohibited source, was derelict in the performance of (b)(6), (b)(7)(A), (b)(7)(C) duties, and committed conduct unbecoming an officer and a gentleman. I substantiated six of seven allegations of misconduct against (b)(6), (b)(7)(A), (b)(7)(C) as noted in enclosure (1).

3. Supporting materials concerning (b)(6), (b)(7)(A), (b)(7)(C) misconduct are contained at enclosure (2). The materials pertaining to (b)(6), (b)(7)(A), (b)(7)(C) are part of an ongoing federal criminal investigation. I find there is a compelling government interest to limit dissemination of the materials in this case. Therefore, it is hereby ordered that the materials provided with this Report of Misconduct will not be disseminated to anyone without an official need to know the information contained herein for the purpose of any stage of show cause, board of inquiry, or retirement grade determination proceedings or review (as applicable), involving (b)(6), (b)(7)(A), (b)(7)(C). Materials may be used by counsel for the respondent, if appointed or retained by (b)(6), (b)(7)(A), (b)(7)(C) solely for the purpose of preparing for and conducting its defense of (b)(6), (b)(7)(A), (b)(7)(C). The materials are protected from further disclosure by

Subj: REPORT OF MISCONDUCT ICO [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) USN

[REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) any counsel for the respondent, and all other counsel or legal support staff involved on behalf of [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) Nothing contained in this order shall be construed as a waiver of any right of [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) Additionally, [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) may seek modification of this order if necessary. Requests for modification shall be made in writing and submitted to the point of contact listed below.

4. After fully reviewing the facts and opinions of this case, I recommend that [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) be required to show cause for retention in the Naval Service [REDACTED] (b)(7)(A), (b)(7)(C) character, as described with this report of misconduct, is not in keeping with the standards expected of a Naval Officer.

5. By copy hereof, [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) is notified of [REDACTED] (b)(7)(A), (b)(7)(C) right, per reference (a), to submit [REDACTED] (b)(7)(A), (b)(7)(C) comments within 10 days of receipt concerning this report of misconduct and show cause recommendation, which will be included as adverse matters in [REDACTED] (b)(7)(A), (b)(7)(C) official record. [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) is also hereby notified that those in the endorsement chain may choose to make recommendations different than those contained in this letter. [REDACTED] (b)(7)(A), (b)(7)(C) comments or declination to make a statement will be reflected in [REDACTED] (b)(7)(A), (b)(7)(C) endorsement to this letter.

6. My point of contact in this matter is [REDACTED] (b)(7)(C) may be contacted at [REDACTED] (b)(7)(C) @navy.mil.


C. W. GRADY



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

1611
Ser N01L/450
5 Jul 18

From: Commander, United States Fleet Forces Command
To: Commander, Navy Personnel Command (PERS-834)
Via: (1) (b)(6), (b)(7)(A), (b)(7)(C) USN
(2) Commander, Explosive Ordnance Disposal Group ONE
(3) Commander, United States Fleet Forces Command

Subj: REPORT OF MISCONDUCT ICO (b)(6), (b)(7)(A), (b)(7)(C) USN

Ref: (a) MILPERSMAN 1611-010
(b) SECNAV memo dtd 30 Sep 15
(c) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(d) DoD 5500.07-R (The Joint Ethics Regulation)
(e) Uniform Code of Military Justice

Encl: (1) COMUSFLTFORCOM ltr 5800 Ser CDA/449 of 5 Jul 18
(2) Summarized Evidence Package ICO (b)(6), (b)(7)(A), (b)(7)(C) USN

1. Per reference (a), this Report of Misconduct in the case of (b)(6), (b)(7)(A), (b)(7)(C) USN, is forwarded for review and action. As the Consolidated Disposition Authority for the Glenn Defense Marine Asia (GDMA) matter per references (b) and (c), I reviewed matters forwarded by the Department of Justice (DoJ) and Defense Criminal Investigative Service (DCIS) regarding (b)(6), (b)(7)(A), (b)(7)(C) while (b)(6), (b)(7)(A), (b)(7)(C) served as (b)(6), (b)(7)(A), (b)(7)(C) USS ESSEX (LHD 2), from January 2008 through May 2010.

2. Based upon my review of the matters forwarded by DoJ and DCIS, I determined that (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C) violated the Standards of Ethical Conduct, the Joint Ethics Regulation, and Navy Regulations, and committed misconduct under references (d) and (e), as noted in enclosure (1). I determined that (b)(6), (b)(7)(A), (b)(7)(C) publicly associated with and patronized prostitutes. I further determined (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted the gifts of a furnished apartment and a hotel room, each with values in excess of ethical limits, from Mr. Leonard Francis/GDMA, a prohibited source.


3. Supporting materials concerning (b)(6), (b)(7)(A), (b)(7)(C) misconduct are contained at enclosure (2). The materials pertaining to (b)(6), (b)(7)(A), (b)(7)(C) are part of an ongoing federal criminal investigation. I find there is a compelling government interest to limit dissemination of the materials in this case. Therefore, it is hereby ordered that the materials provided with this Report of Misconduct will not be disseminated to anyone without an official need to know the information contained therein for the purpose of any stage of show cause, board of inquiry, or retirement grade determination proceedings or review (as applicable) involving (b)(6), (b)(7)(A), (b)(7)(C). Materials may be used by

counsel for the respondent, if appointed or retained by (b)(6), (b)(7)(A), (b)(7)(C) solely for the purpose of preparing for and conducting its defense of (b)(6), (b)(7)(A), (b)(7)(C). The materials are protected from further disclosure by (b)(6), (b)(7)(A), (b)(7)(C) any counsel for the respondent, and all other counsel or legal support staff involved on behalf of (b)(6), (b)(7)(A), (b)(7)(C). Nothing contained in this order shall be construed as a waiver of any right of (b)(6), (b)(7)(A), (b)(7)(C). Additionally, (b)(6), (b)(7)(A), (b)(7)(C) may seek modification of this order if necessary. Requests for modification shall be made in writing and submitted to my point of contact listed below.

4. After fully reviewing the facts and opinions of this case, I recommend that (b)(6), (b)(7)(A), (b)(7)(C) misconduct warrants promotion removal and (b)(6), (b)(7)(A), (b)(7)(C) be required to show cause for retention in the naval service. (b)(6), (b)(7)(A), (b)(7)(C) character, as described with this report of misconduct, is not in keeping with the standards expected of a Naval Officer.

5. By copy hereof, (b)(6), (b)(7)(A), (b)(7)(C) is notified of (b)(6), (b)(7)(A), (b)(7)(C) right, per reference (a), to submit (b)(6), (b)(7)(A), (b)(7)(C) comments within 10 days of receipt concerning this report of misconduct and show cause recommendation, which will be included as adverse matters in (b)(6), (b)(7)(A), (b)(7)(C) official record. (b)(6), (b)(7)(A), (b)(7)(C) is also hereby notified that those in the endorsement chain may choose to make recommendations different than those contained in this letter. (b)(6), (b)(7)(A), (b)(7)(C) comments or declination to make a statement will be reflected in (b)(6), (b)(7)(A), (b)(7)(C) endorsement to this letter.

6. My point of contact in this matter is (b)(6), (b)(7)(A), (b)(7)(C) may be contacted at (b)(6), (b)(7)(A), (b)(7)(C) @navy.mil.



C. W. GRADY

Copy to:
CNP (N00L)



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

1611
Ser N01L/448
5 Jul 18

From: Commander, United States Fleet Forces Command
To: Commander, Navy Personnel Command (PERS-834)
Via: (1) (b)(6), (b)(7)(A), (b)(7)(C) USN
(2) Commanding Officer, NAVSUP Fleet Logistics Center San Diego
(3) Commander, United States Fleet Forces Command

Subj: REPORT OF MISCONDUCT ICO (b)(6), (b)(7)(A), (b)(7)(C) USN

Ref: (a) MILPERSMAN 1611-010
(b) SECNAV memo dtd 30 Sep 15
(c) VCNO ltr 5800 Ser N09D/18U112912 of 5 May 18
(d) DoD 5500.07-R (The Joint Ethics Regulation)
(e) Uniform Code of Military Justice

Encl: (1) COMUSFLTFORCOM ltr 5800 Ser CDA/447 of 5 Jul 18
(2) Summarized Evidence Package ICO (b)(6), (b)(7)(A), (b)(7)(C) USN

1. Per reference (a), this Report of Misconduct in the case of (b)(6), (b)(7)(A), (b)(7)(C) USN, is forwarded for review and action. As the Consolidated Disposition Authority for the Glenn Defense Marine Asia (GDMA) matter per references (b) and (c), I reviewed matters forwarded by the Department of Justice (DoJ) and Defense Criminal Investigative Service (DCIS) regarding (b)(6), (b)(7)(A), (b)(7)(C) while served as: (1) (b)(6), (b)(7)(A), (b)(7)(C) USS HOPPER (DDG 70), from 2006 to 2008; and (2) (b)(6), (b)(7)(A), (b)(7)(C) USS CARL VINSON (CVN 70), from 2011 to 2013.

2. Based upon my review of the matters forwarded by DoJ and DCIS, I determined that (b)(7)(A), (b)(7)(C) committed the offense of graft and acted against Navy interests by wrongfully assisting GDMA in exchange for gifts in violation of references (d) and (e); specifically, he improperly accepted numerous gifts of meals, drinks, and hotel rooms, with a value in excess of \$5,500 and ethical limits, from (b)(6), (b)(7)(A), (b)(7)(C), (b)(7)(D) and Mr. Leonard Francis/GDMA, a prohibited source; and publicly associated with and patronized known prostitutes paid for by GDMA, which conduct was unbecoming an officer and a gentleman. I substantiated twenty-two of twenty-three allegations of misconduct against (b)(6), (b)(7)(A), (b)(7)(C) as noted in enclosure (1).


3. Supporting materials concerning (b)(6), (b)(7)(A), (b)(7)(C) misconduct are contained at enclosure (2). The materials pertaining to (b)(6), (b)(7)(A), (b)(7)(C) are part of an ongoing federal criminal investigation. I find there is a compelling government interest to limit dissemination of the materials in this case. Therefore, it is hereby ordered that the materials provided with this Report of Misconduct will not be disseminated to anyone without an official need to know the information contained

therein for the purpose of any stage of show cause, board of inquiry, or retirement grade determination proceedings or review (as applicable) involving (b)(6), (b)(7)(A), (b)(7)(C) Materials may be used by counsel for the respondent, if appointed or retained by (b)(6), (b)(7)(A), (b)(7)(C) solely for the purpose of preparing for and conducting its defense of (b)(6), (b)(7)(A), (b)(7)(C) The materials are protected from further disclosure by (b)(6), (b)(7)(A), (b)(7)(C) any counsel for the respondent, and all other counsel or legal support staff involved on behalf of (b)(6), (b)(7)(A), (b)(7)(C) Nothing contained in this order shall be construed as a waiver of any right of (b)(6), (b)(7)(A), (b)(7)(C) Additionally, (b)(6), (b)(7)(A), (b)(7)(C) may seek modification of this order if necessary. Requests for modification shall be made in writing and submitted to my point of contact listed below.

4. After fully reviewing the facts and opinions of this case, I recommend that (b)(6), (b)(7)(A), (b)(7)(C) be required to show cause for retention in the naval service. (b)(6), (b)(7)(A), (b)(7)(C) character, as described with this report of misconduct, is not in keeping with the standards expected of a Naval Officer.

5. By copy hereof, (b)(6), (b)(7)(A), (b)(7)(C) is notified of (b)(6), (b)(7)(A), (b)(7)(C) right, per reference (a), to submit (b)(6), (b)(7)(A), (b)(7)(C) comments within 10 days of receipt concerning this report of misconduct and show cause recommendation, which will be included as adverse matters in (b)(6), (b)(7)(A), (b)(7)(C) official record. (b)(6), (b)(7)(A), (b)(7)(C) is also hereby notified that those in the endorsement chain may choose to make recommendations different than those contained in this letter. (b)(6), (b)(7)(A), (b)(7)(C) comments or declination to make a statement will be reflected in (b)(6), (b)(7)(A), (b)(7)(C) endorsement to this letter.

6. My point of contact in this matter is (b)(6), (b)(7)(A), (b)(7)(C) may be contacted at (b)(6), (b)(7)(A), (b)(7)(C) @navy.mil.


C. W. GRADY